Attorney Docket: SP00-314

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Michael Best et al.

Serial No:

09/943,252

Filed:

August 30, 2001

Method To Avoid Straie in EUV Mirrors

Examiner: To Be Assigned

Group Art Unit: 2872

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98

Commissioner for Patents and Trademarks Washington, DC 20231

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

Edward F. Murphy

Registration No. 38,251

Corning Incorporated

SP-TI-03-1

Corning, NY 14831

(607) 974-3716

# FORM PTO-1449 (MODIFIED)

ATTORNEY DOCKET NO.

SERIAL NO.

LIST OF PATENTS AND
PUBLICATIONS
FOR APPLICANTS INFORMATION

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BLICATIONS

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APPLICANT: Best et al.

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FILING DATE: August 30, 2001

GROUP: 2872

REFERENCE DESIGNATION

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#### U.S. PATENT DOCUMENTS

		ESIGNATION					Filing Date
Examiner Initial		Document Number	Date	Name	Class	Sub- Class	if Approp.
	AA	2,239,551	4/22/41	Dalton et al.	49	79	
	AB	2,272,342	2/10/42	Hyde	49	78.1	
	AC	4,002,512	1/11/77	Lim	148	187	
	AD	4,231,657	11/4/80	Iwamatsu	355	71	
	AE	4,344,816	8/17/82	Craighead et al.	156	643	
	AF	4,411,013	10/18/83	Takasu et al.	378	34	
	AG	4,776,696	10/11/88	Hettrick et al.	356	328	<u> </u>
•	AH	4,911,513	3/27/90	Valette	350	96.12	
	AI	5,003,567	3/26/91	Hawryluk et al.	378	34	
	AJ	5,016,265	5/14/91	Hoover	378	43	
	AK	5,043,002	8/27/91	Dobbins et al.	65	3.12	
	AL	5,051,326	9/24/91	Celler et al.	430	5	
	AM	5,146,482	9/8/92	Hoover	378	43	
	AN	5,146,518	9/8/92	Mak et al.	385	41	
	AO	5,152,819	10/6/92	Blackwell et al.	65	3.12	
	AP	5,154,744	10/13/92	Blackwell et al.	65	3.12	
	AQ	5,165,954	11/24/92	Parker et al.	427	526	<u> </u>
	AR	5,173,930	12/22/92	Hoover	378	85	
	AS	5,220,590	6/15/93	Bruning et al.	378	34	
·	AT	5,304,437	4/19/94	Tennant	430	5	
	AU	5,315,629	5/24/94	Jewell et al.	378	34	
······································	AV	5,328,784	7/12/94	Fukuda	430	. 5	
	AW	5,332,702	7/26/94	Sempolinski et al.	501	106	
	AX	5,353,322	10/4/94	Bruning et al.	378	34	
	AY	5,356,662	10/18/94	Early et al.	427	140	
	AZ	5,389,445	2/14/95	Makowiecki et al.	428	457	

**EXAMINER:** 

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## FORM PTO-1449 (MODIFIED) LIST OF PATENTS AND **PUBLICATIONS** FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO. SP00-314

SERIAL NO. 09/943,252

APPLICANT Best et al.

FILING DATE August 30, 2001

**GROUP: 2872** 

REFERE	NCE DE	SIGNATION	U.	S. PATENT DOCUMENTS			500 5
Examiner		Document	Date	Name	Class	Sub- Class	Filing Date if Approp.
pnipel		Number 5 205 412	3/7/95	Sempolinski et al.	65	414	
· · · · · · · · · · · · · · · · · · ·	AA1	5,395,413	3/7/95	Brandes et al.	430	296	
- 2003	AB1	5,395,738		Tennant et al.	430	325	
J J 5005 3	AC1	5,510,230	4/23/96		430	5	
2/	AD1	5,521,031	5/28/96	Tennant et al.	/ <del>-</del> 8	35	
TRADEMARKS	AEl	5,553,110	9/3/96	Sentoku et al.	117	89	
TRADE	AF1	5,565,030	10/15/96	Kado et al. APR 0 3 2		36	
	AG1	5,605,490	2/25/97	Darrot ot all	<del></del>	72	
	AH1	5,698,113	12/16/97	Baker et al.	$\frac{216}{15}$		
	AII	5,637,962	6/10/97	Prono et al.	0015	111.21	
	AJ1	5,737,137	4/7/98	Cohen et al.	359	859	<u> </u>
	AK1	5,815,310	9/29/98	Williamson	359	365	
	AL1	5,868,605	2/9/99	Cesna	451	41	
<del></del>	AM1	5,880,891	3/9/99	Furter	359	651	<u> </u>
	AN1	5,970,751	10/26/99	Maxon et al.	65	414	
		5,989,776	11/23/99	Felter et al.	430	270.1	
	AO1	6,013,399	1/11/00	Nguyen	430	5	
	API		4/11/00	Nguyen et al.	430	5	
	AQ1	6,048,652		Sweatt et al.	359	351	
	AR1	6,118,577	9/12/00		356	512	
	AS1	2001/0028462	10/11/01	Ichihara et al.  PATENT DOCUMENTS	1		

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		Document Number	Date	Country	Class	Sub- Class	Transl Yes	lation No
		11/0 97/06029	10/8/87	PCT	G03F	1/00	X	
/	AA	WO 87/06028	8/6/98	PCT	G21G	4/00	X	
	AB	98/34234		EPO	G03	7/20	X	
	AC	0 055 077	6/30/82		G03F	7/20	X	
	AD	0 252 734	1/13/88	EPO		1/00	X	
	AE	0 279 670	8/24/88	EPO	G03F		X	
	AF	0 569 123	11/10/93	EPO	G03F	1/14	<del> </del>	<del> </del>
	AG	0 708 367	4/24/96	EPO	G03F	1/00	X	<u> </u>
	<del></del> -	0 710 890	5/8/96	EPO	G03F	7/20	X	
	AH		6/18/97	EPO	G02B	17/08	X	
	AI	0 779 528	11/26/97	EPO	G02B	6/38		X
	AJ	0 809 125		EPO	G02B	13/14	X	
	AK	0 903 605	3/24/99		G03C	005/08	1	X
	AL	60-173551	9/6/85	Japan (Abstract only)		001/10	+	X
	AM	63-142302	6/14/88	Japan (Abstract only)	G02B		+	$\frac{x}{x}$
	AN	63-142301	6/14/88	Japan (Abstract only)	G02B	001/10	<del> </del>	\
	AO	WO 01/07967	2/1/01	PCT	G03C	5/00	X	<del></del>
	<del></del>	WO 01/08163	2/1/01	PCT	C23	14/02	X	
	AP		10/11/01	PCT	G03F	1/14	X	
•	/ AQ	WO 01/75522	10/11/01					

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AN 2 2 2002	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)							
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- ADE	AB	High Purity Quartz Glass Products, 5/17/99, http://www.toshiba-ceramics.com/quartz.html, pages 1-2.						
	AC	Shin-Etsu Chemical Homepage, Semiconductor Materials Division, 5/17/99, <a href="http://www.shinetsu.co.jp/english/profile/division/sem-div/sem-div.html">http://www.shinetsu.co.jp/english/profile/division/sem-div/sem-div.html</a> , pages 1-2.						
	AD	Isimoto Co., Ltd., Products for Optics, 5/17/99, http://www.isimoto.ocm/isimoto/english/variation3.html, pages 1-2.						
	AE	Isimoto Co., Ltd., Product Information, 5/17/99, http://www.isimoto.ocm/isimoto/english/procuct_info.html, pages 1-4.						
	AF	Isimoto Co., Ltd., Purity and Chemical Reactivity, 5/17/99, http://www.isimoto.ocm/isimoto/english/feature1.html, pages 1-3.						
	AG	The Optics Mall – Equipment/Supplies, Universal Photonics, Inc., 7/7/99, page 1.						
	АН	Rodel Authored Papers: A Study of the Variation of Physical Properties in Random Lots of Urethane Polishing Pads for CMP, 7/7/99, http://www.rodel.com/publications/paper1.asp, pages 1-4.						
	AI	Rodel Publications Content, Rodel Authored Papers, 7/7/99, <a href="http://www.rodel.com/publications/content.asp">http://www.rodel.com/publications/content.asp</a> , pages 1-2.						
	AJ	Rodel Publications, 7/7/99, <a href="http://www.rodel.com/publications/default.asp">http://www.rodel.com/publications/default.asp</a> , page 1.						
	AK	Cab-O-Sil Web Site, 7/7/99, http://www.cabot-corp.com/cabosil, pages 1-3.						
	AL	Optics and Photonics News, August 1999, Vol. 10, No. 8, August 1999, pages 34-38.						
	AM	O.V. Mazurin, V. K. Leko and L.A. Komarova, Journal of Non-Crystalline Solids 18 (1975) 1-9, Crystallization of Silica and Titanium Oxide-Silica Corning Glasses (Codes 7940 and 7971), pages 1-9.						
	AN	S. T. Gulati and H.E. Hagy, Journal of the American Ceramic Society, Vol. 61, No. 5-6 May-June, 1978, Theory of the Narrow Sandwich Seal, pages 260-263.						
	AO	✓ George H. Beall, Industrial Applications of Silica, pages 470-505.						
	AP	Extreme Ultraviolet Lithography, A White Paper Prepared by: Charles Gwyn, et al. EUV LLC, Livermore, Ca., November 1999, pp.96-141						

#### **EXAMINER:**

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TRADEMARIE	AQ	/	H. E. Hagy and W. D. Shirkey, Determining absolute thermal expansion of titanica-silica glasses: a refined ultrasonic method, September 1975, Vol. 14, No. 9, Applied Optics, pages 2099-2103.
	AR	./	S. F. Jacobs et al., Surface figure changes due to thermal cycling hysteresis, Applied Optics, Vol. 26, No. 20, October 15, 1987, pages 4438-4442
	AS		C.W. Gwyn, R. Stulen, D. Sweeney and D. Attwood, Extreme Ultraviolet Lithography, pages 1-9 and 1-6.
	AT		Richard H. Stulen and Donald W. Sweeney, Extreme Ultraviolet Lithography, IEEE Journal of Quantum Electronics, Vol. 35, No. 5, May 1999, pages 694-699
	AU		William M. Tong, John S. Taylor, Stephen P. Vernon, Substrates Requirements For Extreme Ultraviolet Lithography, Lawrence Livermore National Laboratory.
	AV	久	EUV Lithography NGL Technology Review, June 9, 1999.
	AX		P.C. Schultz et al., Ultra-Low-Expansion Glasses & Their Structure in the SiO <sub>2</sub> and TiO <sub>2</sub> System, Papers presented to the Third International Conf. on the Physics of Non-crystalline Solids, Sheffield University September, 1970. 453-461.

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	AA	5,315,629	5/2	4/94	Jewell et al.	37	8	34			
	AB	6,280,294	8/2	8/01	Miyamoto	45		34			
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Information Disclosure Statement-PTO-1449 (Modified)

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